

EXPR

AIL NO. EV064993233US

PTO/SB/96 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Jiangchun Xu et al.Application No./Patent No.: 10/025,380Filed/Issue Date: December 19, 2001Entitled: COMPOUNDS FOR IMMUNOTHERAPY AND DIAGNOSIS OF COLON CANCER
AND METHODS FOR THEIR USECorixa Corporation

(Name of Assignee)

a

corporation(Type of Assignee, e.g., corporation, partnership,
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.
The assignment was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the
current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be
submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records
of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

28 Mar 2002

Date

Kathleen Nora McKereghan

Typed or printed name

Signature

Senior Vice President, General Counsel and
Secretary

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual
case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S.
Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:
Commissioner for Patents, Washington, DC 20231.

Docket No. 210121.471C14

JOHNO\252943_1.DOC [09-14-01]

Approved for use through 10/31/2002. OMB 0651-0032. U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	COMPOUNDS FOR IMMUNOTHERAPY AND DIAGNOSIS OF COLON CANCER AND METHODS FOR THEIR USE
--------------------	---

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/025,380, filed on December 19, 2001,
☐ as amended on _____ (if applicable);

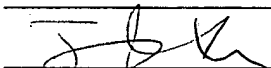
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

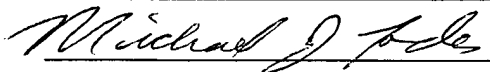
I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

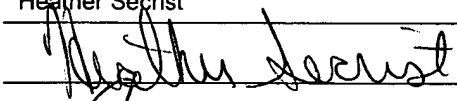
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

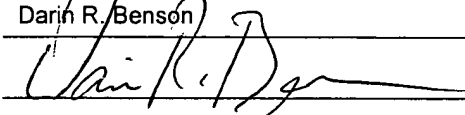
All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor one:	Jiangchun Xu	Citizen of:	US
Signature:		Date:	<u>2/25/02</u>

Inventor two:	Michael J. Lodes	Citizen of:	US
Signature:		Date:	<u>2-25-02</u>

Inventor three:	Heather Secrist	Citizen of:	US
Signature:		Date:	<u>3/5/02</u>

Inventor four:	Darin R. Benson	Citizen of:	US
Signature:		Date:	<u>2/25/02</u>

☒ Additional inventors are being named on two additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

JOHNOV252941_1.DOC




U.S. PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 2 of 3

FULL NAME OF INVENTOR(S)

Inventor five Madeleine Joy Meagher Citizen of: USSignature:  Date: 2/26/02Inventor six John A. Stolk Citizen of: US and CanadaSignature:  Date: 2/26/02Inventor seven Tongtong Wang Citizen of: USSignature:  Date: 2/26/02Inventor eight Yuqiu Jiang Citizen of: People's Republic of ChinaSignature:  Date: 2/25/02Inventor nine Carole L. Smith Citizen of: US

Signature: _____ Date: _____

Inventor ten Gordon E. King Citizen of: USSignature:  Date: 3-8-02Inventor eleven Aijun Wang Citizen of: People's Republic of ChinaSignature:  Date: 2/25/02Inventor twelve Jonathan D. Clapper Citizen of: USSignature:  Date: 2/14/02Inventor thirteen Yasir A. W. Skeiky Citizen of: Lebanon and CanadaSignature:  Date: 2/25/02☒ Additional inventors are being named on one additional form(s) attached hereto.

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Page 2 of 3

FULL NAME OF INVENTOR(S)

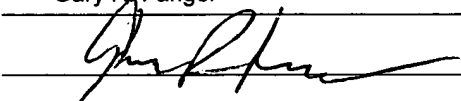
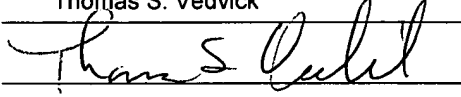
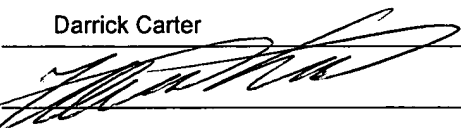
Inventor five	Madeleine Joy Meagher	Citizen of:	US
Signature:		Date:	
Inventor six	John A. Stolk	Citizen of:	US and Canada
Signature:		Date:	
Inventor seven	Tongtong Wang	Citizen of:	US
Signature:		Date:	
Inventor eight	Yuqiu Jiang	Citizen of:	People's Republic of China
Signature:		Date:	
Inventor nine	Carole L. Smith	Citizen of:	US
Signature:	<i>Carole L. Smith</i>	Date:	2/27/02
Inventor ten	Gordon E. King	Citizen of:	US
Signature:		Date:	
Inventor eleven	Aijun Wang	Citizen of:	People's Republic of China
Signature:		Date:	
Inventor twelve	Jonathan D. Clapper	Citizen of:	US
Signature:		Date:	
Inventor thirteen	Yasir A. W. Skeiky	Citizen of:	Lebanon and Canada
Signature:		Date:	

☒ Additional inventors are being named on one additional form(s) attached hereto.


**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)****ADDITIONAL INVENTOR(S)
Supplemental Sheet**

Page 3 of 3

FULL NAME OF INVENTOR(S)

Inventor fourteen	Gary R. Fanger	Citizen of:	US
Signature:		Date:	2/26/02
Inventor fifteen	Thomas S. Vedvick	Citizen of:	US
Signature:		Date:	Feb 25, 2002
Inventor sixteen	Darrick Carter	Citizen of:	US
Signature:		Date:	2/25/2002
Inventor seventeen		Citizen of:	
Signature:		Date:	
Inventor eighteen		Citizen of:	
Signature:		Date:	
Inventor nineteen		Citizen of:	
Signature:		Date:	
Inventor twenty		Citizen of:	
Signature:		Date:	

☐ Additional inventors are being named on ____ additional form(s) attached hereto.

Please type a plus sign (+) inside this 

EXPRESS MAIL NO. EV064993233US

PTO/SB/81 (10-00)

Applicable for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**ELECTION AND POWER OF
ATTORNEY OR
AUTHORIZATION OF AGENT**

Application Number	10/025,380
Filing Date	December 19, 2001
First Named Inventor	Jiangchun Xu
Group Art Unit	
Examiner Name	
Attorney Docket Number	210121.471C14

I hereby appoint:

☒ Practitioners at Seed IP Law Group PLLC

OR

☐ Practitioner(s) named below:

Name	Registration Number



00500

PATENT TRADEMARK OFFICE

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ As assignee of record of the entire interest hereby elect, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventor

SIGNATURE of Applicant or Assignee of Record

Name Kathleen Nora McKereghan

Signature 

Date 28 Mar 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

VOHNO252942_1.DOC [01-14-01]

ASSIGNMENT OF UTILITY PATENT APPLICATION

WHEREAS, we,

Name	Post Office Address	
Jiangchun Xu	15805 Southeast 43rd Place, Bellevue, Washington 98006	;
Michael J. Lodes	9223 36th Avenue Southwest, Seattle, Washington 98126	;
Heather Secrist	3844 35th Avenue West, Seattle, Washington 98199	;
Darin R. Benson	723 North 48th Street, Seattle, Washington 98103	;
Madeleine Joy Meagher	507 Northeast 71st Street, #1, Seattle, Washington 98115	;
John A. Stolk	7436 Northeast 144th Place, Bothell, Washington 98011	;
Tongtong Wang	8049 Northeast 28th Street, Medina, Washington 98039	;
Yuqiu Jiang	5001 South 232nd Street, Kent, Washington 98032	;
Carole L. Smith	6000 – 17 th Avenue Southwest, Apartment 6, Seattle, Washington 98106	;
Gordon E. King	15716 First Avenue Northwest, Shoreline, Washington 98177	;
Aijun Wang	3106 213th Place Southeast, Issaquah, Washington 98029	;
Jonathan D. Clapper	2149 Dexter Avenue North, #4, Seattle, Washington 98109	;
Yasir A. W. Skeiky	15106 Southeast 47 th Place, Bellevue, Washington 98006	;
Gary R. Fanger	15906 29 th Drive Southeast, Mill Creek, Washington 98012	;
Thomas S. Vedvick	124 South 300 th Place, Federal Way, Washington 98003	; and
Darrick Carter	321 Summit Avenue East, Seattle, Washington 98102	,

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are the joint inventors of an invention entitled

COMPOUNDS FOR IMMUNOTHERAPY AND DIAGNOSIS OF COLON CANCER AND METHODS FOR THEIR USE

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 10/025,380, as filed in the United States Patent and Trademark Office on December 19, 2001 (“this application”) for which a patent is sought. This application derives benefit from the following application(s):

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/922,217	08/03/01		210121.471C13
09/833,263	04/10/01		210121.471C12
09/649,811	08/28/00		210121.471C11
09/609,448	06/29/00		210121.471C10
09/575,251	05/19/00		210121.471C9
09/519,444	03/06/00		210121.471C8
09/504,629	02/15/00		210121.471C7
09/480,321	01/10/00		210121.471C6
09/476,296	12/30/99		210121.471C5
09/454,150	12/02/99		210121.471C4
09/444,252	11/19/99		210121.471C3
09/401,064	09/22/99		210121.471C2
09/347,496	07/02/99		210121.471C1
09/221,298	12/23/98	6,284,241	210121.471

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues

and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made.

ASSIGNORS hereby authorize and request the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and benefit, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention, for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefor.

2/25/02
Date
Signature of Jiangchun Xu
State of Washington)
County of King) ss.

I certify that I know or have satisfactory evidence that Jiangchun Xu is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 25, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

2-29-02
Date
Signature of Michael J. Lodes
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Michael J. Lodes is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 25, 2002
Signature of Notary Public Deirdre I Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

3-5-02
Date
Signature of Heather Secrist
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Heather Secrist is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated March 5, 2002
Signature of Notary Public Deirdre I Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

210121.471C14

2/25/02
Date
Darin R. Benson
Signature of Darin R. Benson

State of WA)
County of King) ss.

I certify that I know or have satisfactory evidence that Darin R. Benson is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 25, 2002
Signature of Deirdre I. Bryan
Notary Public
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

2-26-02
Date
Madeleine Joy Meagher
Signature of Madeleine Joy Meagher
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Madeleine Joy Meagher is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 26, 2002
Signature of Deirdre I. Bryan
Notary Public
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Date 2/26/02 Signature of John A. Stolk John A. Stolk

State of Washington)
County of King) ss.

I certify that I know or have satisfactory evidence that John A. Stolk is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 26, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Date 2/26/02 Signature of Tongtong Wang Tongtong Wang
State of Washington)
County of King) ss.

I certify that I know or have satisfactory evidence that Tongtong Wang is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 26, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

2/25/02 _____
Date Signature of Yuqiu Jiang

State of Washington)
County of King) ss.

I certify that I know or have satisfactory evidence that Yuqiu Jiang is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 25, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Date Signature of Carole L. Smith

State of _____)
County of _____) ss.

I certify that I know or have satisfactory evidence that Carole L. Smith is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated _____
Signature of Notary Public _____
Printed Name _____
My appointment expires _____

Date Signature of Yuqiu Jiang

State of _____)
County of _____) ss.

I certify that I know or have satisfactory evidence that Yuqiu Jiang is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated _____

Signature of
Notary Public _____

Printed Name _____

My appointment expires _____

2/27/02
Date Signature of Carole L. Smith

State of _____)
County of _____) ss.

I certify that I know or have satisfactory evidence that Carole L. Smith is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated _____

Signature of
Notary Public _____

Printed Name _____

My appointment expires _____

3/8/02
Date
Gordon E. King
Signature of Gordon E. King

State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Gordon E. King is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated March 8, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

2/25/02
Date
Aijun Wang
Signature of Aijun Wang
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Aijun Wang is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 25, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Date 3/14/02 Signature of Jonathan D. Clapper

State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Jonathan D. Clapper is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated March 14, 2002

Signature of Notary Public Deirdre I. Bryan

Printed Name DEIRDRE I. BRYAN

My appointment expires 9/1/02

Date 2/25/02 Signature of Yasir A. W. Skeiky

State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Yasir A. W. Skeiky is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 25, 2002

Signature of Notary Public Deirdre I. Bryan

Printed Name DEIRDRE I. BRYAN

My appointment expires 9/1/02

2/26/02
Date
Signature of Gary R. Fanger

State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Gary R. Fanger is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.


Dated February 26, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

Feb. 25, 2002
Date
Signature of Thomas S. Vedvick
Signature of Thomas S. Vedvick
State of WASHINGTON)
County of KING) ss.

I certify that I know or have satisfactory evidence that Thomas S. Vedvick is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

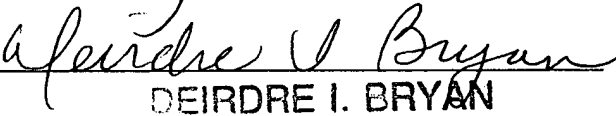
Dated February 25, 2002
Signature of Notary Public Deirdre I. Bryan
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

2/25/02
Date


Signature of Darrick Carter

State of WASHINGTON)
) ss.
County of KING)

I certify that I know or have satisfactory evidence that Darrick Carter is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 25, 2002
Signature of Notary Public 
Printed Name DEIRDRE I. BRYAN
My appointment expires 9/1/02

210121.471C14
JOHNO252945_1.DOC